

## 公開籌款許可證(募集已簽署的捐款授權書)申請表格

**Application Form for Public Subscription Permit** (Solicitation of Signed Authorisation Forms)

## 注意 Attention

#### 申請期限:

- <u>每年的7月1日至7月31日[適用於**翌年上半年度**(即由1月1日至6月30日)</u> 或**翌年全年度**的申請]
- <u>每年的1月1日至1月31日[適用於**該年下半年度**(即由7月1日至12月31</u>日)的申請]

# Application Period:

- 1<sup>st</sup> to 31<sup>st</sup> July of each year [Applicable to application for **Former Half Year** (i.e. from 1<sup>st</sup> January to 30<sup>th</sup> June) or **the whole of the next year**]
- 1<sup>st</sup> to 31<sup>st</sup> January of each year [Applicable to application for **Latter Half Year** (i.e. from 1<sup>st</sup> July to 31<sup>st</sup> December) **of the same year**]

## 申請機構注意事項

## **Reminder to Applicant Organisations**

- 1. 在填寫本表格前,請先閱讀公開籌款許可證(募集已簽署的捐款授權書)申請須知及已上載於社會福利署(社署)網頁的《公開籌款許可證涵蓋範圍一般指引》。 Please read the "Explanatory Notes for Application for Public Subscription Permit (Solicitation of Signed Authorisation Forms)" at the Appendix and the "General Guidelines on the Scope of the Public Subscription Permits" available on the website of the Social Welfare Department (SWD) before completing this application form.
- 2. 除使用此紙本表格外,申請亦可以網上表格方式遞交。有關網上申請詳情,請參閱 社署網站(<u>http://www.swd.gov.hk</u>)。 Apart from using this paper application form, applications can also be submitted online. Please refer to the SWD's website (http://www.swd.gov.hk) for details of online application.
- 3. 募集已簽署的捐款授權書的收入不可用於從事或支持任何違反香港法例的行為和活動(包括從事或支持任何非法或不利於國家安全的行為和活動)。如有下列任何情況,社署可即時撤銷公開籌款許可證:(a)機構曾經參與或正在參與可能會構成或導致發生危害國家安全罪行或不利於國家安全的行為或活動;(b)機構繼續聘用其承包商、服務提供者或供應商將不利於國家安全;或(c)政府合理地相信上述任何一種情況將會發生。

No solicitation of signed authorisation forms proceeds shall be used for engaging in or in support of any acts or activities which are in violation of the laws of Hong Kong (including engaging in or supporting any acts or activities which are unlawful or contrary to the interests of national security). Besides, SWD may immediately revoke the Public Subscription Permit upon the occurrence of any of the following events: (a) the organisation has engaged or is engaging in acts or activities that are likely to constitute or cause the occurrence of offences endangering national security or which would otherwise be contrary to the interest of national security; (b) the continued engagement of contractors, service providers or suppliers by the organisation is contrary to the interest of national security; or (c) the Government reasonably believes that any of the events mentioned above is about to occur.

許可證有效期及地點 Validity Period of the Permit and L	ocation

1.		申請的許可證有效期[請選a或b(i)/b(ii)項] Validity Period of the Permit to be Applied for [Please choose a or b(i)/(ii)]						
	a		翌年全年度的申請 Application for the whole o	of the next year				
		或(	or					
	b(i)		翌年上半年度的申請 Application for the Former Year of the next year	Half	b(ii)		本年下半年度的申請 Application for Latter Half Year of the same year	
2.			<sup>を</sup> 款活動的地點(可選多が Location(s) of the Fund-rais		an cho	ose 1	more than ONE item)	
			长街道 lic Streets				非公共街道的公眾地方 Public Places other than Public Streets	
	·機構 icular		料 Applicant Organisation					
3.			中文註冊名稱 d Name of Organisation in C	Chinese				
			英文註冊名稱 d Name of Organisation in E	English				
4.	Con	機構聯絡資料(公開籌款許可證上會顯示機構的中文及英文註冊地址、電話及網址) Contact Details of Organisation (The Public Subscription Permit will show the registered address in Chinese and English, telephone number and website of the organisation)						
	中文註冊地址 Registered Address in Chinese							
			于地址 d Address in English					
	通訊 Corn	孔地均 respon	止(如與註册地址不同) ndence Address (if different	from registered	addres	s) _		
		止(如				_		
			if available)				-mail	
	電話 Tel. No				傳真 Fax No			
			·電話 cy Contact Tel. No.					
		-	· ———					

請於適當方格內□加「✓」號。 Please tick the appropriate box.

5.	機構主席或機構負責人姓名
	Name of Chairperson/Head of Organisation

(English) (Mr/Mrs/Miss/Ms)*	(先生/太;	太/小姐/女士)*
(Eligish) (Mit/Mis/Miss/Ms)**		
香港身份證 / 護照號碼 Hong Kong Identity Card / Passport No (只須英文字母及首3位數字 English letter(s) and the	of first three digits only)	
職位 Post Title		
聯絡電話 Contact Tel. No.		
負責管理上述機構人士的資料 Details of office bearers responsible for	the administration of the organisation	
職位 Post Title	姓名 <u>Name</u>	
會長/主席 President/Chairperson		
秘書 Secretary		
司庫 Treasurer		
聯絡人 Contact Person		
Please complete this section if the cor	人)授權處理與本申請有關的事宜,請 ntact person is authorised (by Chairperson	
applicant organisation to handle matters 聯絡人中文姓名	s related to this Application.	
		三/太太/小姐/女
聯絡人中文姓名 Name of Contact Person in Chinese 聯絡人英文姓名 Name of Contact Person in English (Mr香港身份證/護照號碼 Hong Kong Identity Card/Passport No	(先生 上)* r/Mrs/Miss/Ms)*	
聯絡人中文姓名 Name of Contact Person in Chinese 聯絡人英文姓名 Name of Contact Person in English (Mr香港身份證/護照號碼 Hong Kong Identity Card/Passport No	(先生 士)* r/Mrs/Miss/Ms)* 	
聯絡人中文姓名 Name of Contact Person in Chinese 聯絡人英文姓名 Name of Contact Person in English (Mr香港身份證/護照號碼 Hong Kong Identity Card / Passport No(只須英文字母及首3位數字 English letter(s) and the 於申請機構的職位	(先生士)* r/Mrs/Miss/Ms)*  . first three digits only)  其他聯絡電話	
聯絡人中文姓名 Name of Contact Person in Chinese 聯絡人英文姓名 Name of Contact Person in English (Mr香港身份證/護照號碼 Hong Kong Identity Card / Passport No (只須英文字母及首3位數字 English letter(s) and the 於申請機構的職位 Post Title in Applicant Organisation 辦事處電話	(先生士)* r/Mrs/Miss/Ms)*  . first three digits only)  其他聯絡電話 Other Contact Tel. No.  傳真	

9.	機構的宗旨 Objectives of the Organisation					
10.	Will	&構會否採納《慈善籌款良好實務指引》(《良好實務指引》)(備註1)? your organisation adopt the "Good Practice Guide on Charitable Fund-raising" ("Good Practice Guide" e 1)?				
		會/Yes				
		不會/No				
		只採納部分/Partial adoption only				
		(請註明不會採用的項目,例如: A1,B1或C1 Please specify the provision(s) that would not be adopted, e.g. A1, B1 or C1):				
		r/只採納部分《良好實務指引》的原因 son(s) for non-adoption/partial adoption of the Good Practice Guide:				
11.		·辦的籌款活動的募捐對象(例如市民大眾、會員) et donors of the proposed fund-raising activity (e.g. the general public, members)				
	Targo	a donors of the proposed fund-faising activity (e.g. the general public, members)				
12.	Purp	长辦的籌款活動的目的 (備註2) pose(s) of the proposed fund-raising activity (Note 2) 今公開籌款許可證上會以中英文顯示機構擬舉辦的籌款活動的目的,此欄請同時以中文及英文墳				
	(pleashow	nse complete in both Chinese and English as the purpose(s) of the proposed fund-raising activity will be wn in bilingual versions in the Public Subscription Permit.)  (中文 Chinese)				
		(英文 English)				
	(b)	籌得款項將用於: The funds raised will be used: □ 香港 in Hong Kong				
		□ 香港以外地方(請註明):				
		outside Hong Kong (please specify):				
		不適用者。 Please delete as appropriate. 方格內□加「✓」號。 Please tick the appropriate box.				
備註 Note		《慈善籌款良好實務指引》可於香港政府一站通或社署網頁下載。 The "Good Practice Guide on Charitable Fund-raising" can be downloaded from the website of GovHK or				

募集已簽署的捐款授權書的收入不可用於從事或支持任何違反香港法例的行為和活動(包括從

No solicitation of signed authorisation forms proceeds should be used for engaging in or in support of any acts or

activities which are in violation of the laws of Hong Kong (including engaging in or supporting any acts or

事或支持任何非法或不利於國家安全的行為和活動)。

activities which are unlawful or contrary to the interests of national security).

備註2:

Note 2:

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13.			會否聘用籌募機構代為籌辦活動: acies be employed to organise the proposed fund-raising activities:	
		會 Yes	□ 不會 No	
14.	If th		予本港的指定機構,請填報下列資料: ted is intended to be donated to the designated organisation(s) in Hong Kong, pleas g:	se
	(a)	受益機構名稱 Name of Benefic	riary Organisation(s)	
	(b)	Is/Are the benefi	《稅務條例》第88條所指的認可機構或公共信託機構? ciary organisation(s) an approved institution(s) or trust(s) of a public character und Inland Revenue Ordinance?	er
		□ 是 Yes	□ 否 No	
15.	If th	ne money so collec	本港以外地區,請指明在本港代收款項的代理機構。 eted is intended to be used outside Hong Kong, please specify the agent organisation funds in Hong Kong for onward transmission.	n
		里機構名稱 ne of Agent Organ	isation	
16.	如對	·  本申請有任何補	充資料,請在此說明。	
	Plea	se state here any a	dditional information for this application.	

請於適當方格內□加「✓」號。 Please tick the appropriate box.

#### 機構主席/機構負責人的聲明

#### **Declaration by Chairperson/Head of Organisation**

17. 茲聲明本機構不會將募集已簽署的捐款授權書的收入用於從事或支持任何違反香港法例的行為和活動(包括從事或支持任何非法或不利於國家安全的行為和活動)。另外,如有下列任何情況,本機構明白社署可即時撤銷公開籌款許可證:(a)本機構曾經參與或正在參與可能會構成或導致發生危害國家安全罪行或不利於國家安全的行為或活動;(b)本機構繼續聘用其承包商、服務提供者或供應商將不利於國家安全;或(c)政府合理地相信上述任何一種情況將會發生。

I declare that no solicitation of signed authorisation forms proceeds would be used by my organisation for engaging in or in support of any acts or activities which are in violation of the laws of Hong Kong (including engaging in or supporting any acts or activities which are unlawful or contrary to the interests of national security). Besides, I understand that SWD may immediately revoke the Public Subscription Permit upon the occurrence of any of the following events: (a) my organisation has engaged or is engaging in acts or activities that are likely to constitute or cause the occurrence of offences endangering national security or which would otherwise be contrary to the interest of national security; (b) the continued engagement of contractors, service providers or suppliers by my organisation is contrary to the interest of national security; or (c) the Government reasonably believes that any of the events mentioned above is about to occur.

18. 茲聲明據本人所知,本人或本機構的代表在本申請表格內所填報的資料及提交的文件,以及就是項申請所作出的一切陳述及聲明,均屬真確無訛。本人明白倘若本人或本機構的代表故意在填寫本申請表格時虛報資料或隱瞞重要事實,或未有通知社署在申請表格內所提供資料已作更改,會令本機構的公開籌款許可證申請不單被拒或導致已發出的公開籌款許可證被撤銷,日後的申請亦可能會受到影響。

I declare that the information provided in this application form and the supporting documents submitted by me or the delegates of this organisation, as well as all the statements and declarations made in relation to this application are, to the best of my knowledge, both true and correct. I understand that if I or the delegates of this organisation wilfully give any false information or conceal any material facts in completing this application form, or fail to notify SWD of any subsequent changes of the information provided in this application form, it will lead to our application for Public Subscription Permit being rejected, or issued Public Subscription Permit being revoked and this organisation's eligibility for future applications may also be affected.

19. 本人已詳細閱讀及明白載於附錄的「公開籌款許可證(募集已簽署的捐款授權書)申請須知」, 如獲發許可證,本人定會確保本機構遵守所有相關的規定/安排和許可證的條件。

I have carefully read and understood the "Explanatory Notes for Application for Public Subscription Permit (Solicitation of Signed Authorisation Forms)" at Appendix. I shall ensure that this organisation shall comply with all the requirements/arrangements and permit conditions stipulated therein should a Public Subscription Permit be granted.

機構主席/機構負責人簽署* (備註3) Signature of Chairperson/ Head of Organisation * (Note 3)	簽署人姓名及職銜(正楷) (備註3) Name and Title (Print) (Note 3)
機構蓋章	日期
Organisation Stamp	Date

\* 請刪去不適用者。 Please delete as appropriate.

備註3:簽署人及其姓名須與上述第5項填寫的機構主席/機構負責人相同。此部分必須為已蓋上機構印章及由機構主席/機構負責人<u>手寫簽署的正本</u>文件。簽署或機構蓋章如有欠缺、屬複印或列印,該申請將<u>不獲受理</u>。

Note 3: The signatory and name must tally with the Chairperson/Head of Organisation specified in item no. 5 above. This declaration must be the <u>original</u> copy, bearing the organisation chop and <u>hand-written</u> <u>signature</u> of the Chairperson/Head of Organisation. Applications missing signature or <u>organisation</u> stamp, or with copied/printed signature or organisation stamp <u>will NOT be considered</u>.

## 所需文件

## **Documents Required**

## 遞交申請表格時,請一併提交以下文件。未有遞交所需文件的申請可能不予受理。

When submitting the application form, please provide all the required documents as listed below. Applications not accompanied with these documents may NOT be considered.

## I. 一般所需文件:

Genearlly required documents -

- 1. 貴機構及受益機構(如適用)有效的註冊證書<sup>1</sup>副本一份,以茲證明貴機構的註冊記錄,該名稱應與申請募集已簽署的捐款授權書機構及受益機構(如適用)名稱相同。
  - A copy of valid certificate of registration<sup>1</sup> of your organisation and beneficiary organisation(s) (if applicable) to validate the record of registration of your organisation. The name in the certificate should tally with that of the applicant organisation and beneficiary organisation(s) (if applicable).
- 2. 貴機構證明文件<sup>1</sup>副本一份,以茲確認貴機構機構主席或機構負責人(名字須與申請表第五項填寫的機構 主席或機構負責人相同)的身份(例如:最近期提交予公司註冊處之表格NAR1或經審計的周年財務報表 副本等)。
  - A documentary proof copy of your organisation to validate the identity of the Chairperson/ Head of Organisation (the name must tally with the Chairperson/ Head of Organisation specified in item no.5 in the application form) (e.g. a copy of the latest Form NAR1 which has been submitted to Companies Registry or audited annual financial statement, etc.).
- 3. 貴機構有效的註冊地址證明<sup>1</sup>副本一份 (例如:有公司註冊的機構,請提供最近期提交予公司註冊處之表格NAR1或表格NR1副本。水費單、電費單及徵收差餉/或地租通知書等不接受)。
  - A copy of valid proof of registered address<sup>1</sup> of your organisation (e.g. For organisations registered at Companies Registry, please submit a copy of the latest Form NAR1 or Form NR1 which has been submitted to Companies Registry. Water Bills, Electricity Bills and Demand Note for Rates and/or Government Rent, etc are not accepted).
- 4. 貴機構的章程細則或會章副本一份。
  - A copy of the Articles of Association or constitution of your organisation.
- 5. 由稅務局局長發出,證明貴機構及受益機構(如適用)為根據《稅務條例》(第112章)第88條獲豁免繳稅的慈善機構或信託團體的最近期的有效認可信件。
  - A copy of the latest valid approval letter issued by the Commissioner of Inland Revenue to prove that your organisation and beneficiary organisation(s) (if applicable) is a charitable institution or trust exempt from tax under section 88 of the Inland Revenue Ordinance, Cap. 112.
- 6. 貴機構及受益機構(如適用)於過往三年的年報、其他載列貴機構及受益機構(如適用)現行宗旨、組織架構和所推行的計劃及慈善活動記錄的文件,及/或經機構主席/負責人核准的服務記錄(可填寫上載於社署網頁的《慈善活動記錄表》)[註:如機構過往曾獲發公開籌款許可證進行募集已簽署的捐款授權書,機構只需提交相關的更新文件,以便社署考慮機構是否有三年的慈善活動記錄。]
  - Copies of your organisation's and beneficiary organisation(s)'s (if applicable) annual reports published in the past three years, other documents showing the current objectives, setup, programme and charitable activities of your organisation and beneficiary organisation (if applicable), and/ or reports of charitable service record endorsed by the organisation chairman/head (you may fill in the Charitable Service Record Form which has been uploaded onto the website of SWD.) [Note: For those organisations which have been issued with the Public Subscription Permit to conduct solicitation of signed authorisation forms previously, they will only be required to submit updated copies of the relevant documents to facilitate SWD's consideration of whether they have 3 years' track record of charitable activities.]

<sup>1</sup>如有關文件載有有關人士的身分證/護照號碼,可於提交時只保留英文字母及首三個數目字。

If the relevant documents contain information on the Hong Kong Identity Card/ Passport no. of the relevant persons, you may retain the English letter(s) and first three digits only.

7. 貴機構及受益機構(如適用)過去三年的經審計的周年財務報表副本。 [註:如機構過往曾獲發公開籌款許可證進行募集已簽署的捐款授權書,機構只需提交相關的更新文件,以便社署考慮機構過往三年的經審計的周年財務報表副本。]

Copies of your organisation's and beneficiary organisation(s)'s (if applicable) audited annual financial statements published in the past three years. [Note: For those organisations which have been issued with the Public Subscription Permit to conduct solicitation of signed authorisation forms previously, they will only be required to submit updated copies of the relevant documents to facilitate SWD's consideration of their 3 years' audited annual financial statements.]

8. 貴機構須根據「地區分配」的結果,在十四個曆日內提交於社署網頁上的籌款活動表格(供於公 共街道進行的籌款活動)的電子檔案(MS Excel 格式)。請勿自行編製表格,以免影響上載獲批准 的籌款活動至香港政府一站通網頁。

Your organisation has to submit the soft copy (in MS Excel format) of the Fund-raising Activity Proforma (for fund-raising activities to be conducted on public streets) which is available on SWD website according to the result of the "District Allocation Exercise" within 14 calendar days after the Exercise. Please do not create your own proforma as this may affect the uploading of approved activities onto the GovHK's website.

- 9. 擬於公共街道使用的募集捐款授權書範本。
  - A copy of the solicitaiton of authorisation forms to be used on public streets.
- 10. 受益機構發出的確認文件副本一份,以證明受益機構同意接受貴機構擬舉辦的籌款活動所籌得的捐款(如適用)。

A copy of the confirmation document from the beneficiary organisation(s) giving consent to accept the donations collected from the proposed fund-raising activity organised by your organisation, if applicable.

11. 代理機構發出的確認信件副本一份,以證明該機構同意是次舉辦的籌款活動,並承諾會代收籌得的款項以捐贈予受益機構(如適用)。

A copy of the confirmation letter from the agent organisation as evidence of its agreement to the fund-raising activity organised and to the collection of the donated money for onward transmission to the beneficiary organisation(s), if applicable.

- II. 此外,就申請涉及於非公共街道的公眾地方募集已簽署的捐款授權書,請遞交下列文件:
  - Apart from the above, please provide the documents below for the application involves solicitation of signed authorisation forms in public places other than public streets –
- 12. 已填妥的「公開籌款許可證(募集已簽署的捐款授權書)申請表格 非公共街道的公眾地方」。 Completed "Application Form for Public Subscription Permit (Solicitation of Signed Authorisation Forms) – Public Places other than Public Streets".
- 13. 活動場地(即非公共街道的公眾地方,例如商場、港鐵站、體育場、文娛中心、公共屋邨等)的管理機構所發出的批准通知書副本一份,通知書上須包含獲批准進行籌款活動的日期、時間、地點及籌款方式。

A copy of the approval from the management authority of the venue for the activities (public places other than public streets, e.g. shopping malls, MTR stations, stadia, civic centres, public housing estates, etc.), including date, time, venue and method of fund-raising.

- 14. 舉行活動的確切地點的樓面圖則副本一份。
  - A copy of floor plan indicating the specific location where the activity would take place.
- 15. 提交於社署網頁上的籌款活動表格(供於非公共街道的公眾地方進行的籌款活動)的電子檔案 (MS Excel 格式)。請勿自行編製表格,以免影響上載獲批准的籌款活動至香港政府一站通網頁。 To submit the soft copy (in MS Excel format) of the Fund-raising Activity Proforma (for fund-raising activities to be conducted in public places other than public streets) available on SWD website. Please do not create your own proforma as this may affect the uploading of approved activities onto the GovHK's website.
- 16. 擬於非公共街道的公眾地方使用的募集捐款授權書範本。

A copy of the solicitaiton of authorisation forms to be used in public places other than public streets.

除上述文件外,社署可因應個別情況,要求貴機構提交其他補充資料或文件,以協助審批是次申請。 Your organisation may be required to submit additional information and documents other than the documents required above, as the case warrants, to facilitate the assessment of the current application.



#### 公開籌款許可證(募集已簽署的捐款授權書)申請須知

Explanatory Notes for Application for Public Subscription Permit (Solicitation of Signed Authorisation Forms)

#### A. 申請手續

**Application Procedures** 

#### 索取申請表格

**Obtaining an Application Form** 

(1) 公開籌款許可證(募集已簽署的捐款授權書)申請表格可於社會福利署(社署)網站 (<u>http://www.swd.gov.hk</u>)下載,亦可向社署獎券基金計劃組索取,地址是<u>香港灣仔皇后大道</u> 東248號大新金融中心36樓3601至02室。

Application Form for Public Subscription Permit (Solicitation of Signed Authorisation Forms) can be downloaded from the website of the Social Welfare Department (SWD) at <a href="http://www.swd.gov.hk">http://www.swd.gov.hk</a>. It is also available at the Lotteries Fund Projects Section of SWD at <a href="Rooms 3601-02">Rooms 3601-02</a>, 36/F, Dah Sing Financial Centre, 248 Oueen's Road East, Wan Chai, Hong Kong.

## 提交申請

## **Submission of Application**

(2) 如機構擬於翌年上半年度(即由1月1日至6月30日)或翌年全年度進行募集已簽署的捐款授權書,請於每年的7月1日至7月31日遞交申請表格。如機構擬於該年下半年度(即由7月1日至12月31日)進行募集已簽署的捐款授權書,請於每年的1月1日至1月31日遞交申請表格。如有關機構在獲發公開籌款許可證後,在申請資格方面(例如註冊、豁免繳稅的資格、章程細則或會章等)有任何變動,須立刻通知社署。

For organisations which intend to conduct Solicitation of Signed Authorisation Forms for the Former Half Year (i.e. from 1<sup>st</sup> January to 30<sup>th</sup> June) or the whole of the next year, please submit the application form from 1<sup>st</sup> to 31<sup>st</sup> July of each year. For organisations which intend to conduct Solicitation of Signed Authorisation Forms for the Latter Half Year (i.e. from 1<sup>st</sup> July to 31<sup>st</sup> December), please submit the application form from 1<sup>st</sup> to 31<sup>st</sup> January of each year. If there are any changes in its eligibility (e.g. registration, tax-exemption status, Articles of Association or constitution, etc.), after the issuance of a Public Subscription Permit, the organisation concerned has to inform SWD immediately.

(3) 機構(不論申請涉及公共街道或非公共街道的公眾地方)須在<u>每年的七月一日至七月三十一日及每年的一月一日至一月三十一日(如適用)</u>,將填妥的申請表格正本,連同列於申請表格內提及的所需文件,交抵下列指定地址(以下簡稱「指定地址」)-

香港灣仔皇后大道東248號 大新金融中心36樓3601-02室

社會福利署

獎券基金計劃組

[經辦人:行政主任(獎券基金)4]

請於信封面註明「公開籌款許可證(募集已簽署的捐款授權書)申請」及貴機構的註冊名稱。社署亦接受經香港郵政郵寄或網上遞交的申請(見下文第(4)及(5)項),不接納以電郵或傳真方式提交的申請。逾期申請,概不受理。

The completed application form (regardless applying for conducting on public streets or in public places other than public streets) together with all the required documents as listed in the application form, should reach the following designated address (thereafter known as "designated address") <u>from 1<sup>st</sup> to 31<sup>st</sup> July of each year and from 1<sup>st</sup> to 31<sup>st</sup> January of each year (if applicable)—</u>

Lotteries Fund Projects Section Social Welfare Department Rooms 3601-02, 36/F Dah Sing Financial Centre 248 Queen's Road East, Wan Chai, Hong Kong [Attention: Executive Officer (Lotteries Fund)4]

Please mark "Application for Public Subscription Permit (Solicitation of Signed Authorisation Forms)" and the registered name of your organisation on the envelope. Applications may also be submitted through the Hongkong Post, or by on-line as stipulated in item (4) and (5) below. Applications via email or fax will NOT be accepted. Late application will NOT be considered.

(4) 經香港郵政郵寄遞交的申請郵件信封上的郵戳日期將視為遞交申請書的日期,如信封上的香港郵政郵戳日期為翌年上半年度或翌年全年度的申請最後期限(即7月31日)/該年下半年度的申請最後期限(即1月31日)後,將被視作逾期申請。為避免郵件未能成功派遞,申請機構在投寄前應確保信封面已清楚打印或寫上正確指定地址及支付足夠郵資。郵資不足的郵件或將不會派遞至社署,並或會由香港郵政按情況退還寄件人或銷毀。申請機構須自行承擔因未有支付足夠郵資而引致的任何後果。

The postmark date on the envelope of an application submitted by post through the Hongkong Post will be regarded as the date of submission of application. If an application is sent by post through the Hongkong Post with a postmark date after the application deadline for the former half or the whole of the next year (i.e. 31st July) / the application deadline for the latter half of the same year (i.e. 31st January), it will be regarded as a late application. To avoid unsuccessful delivery of mail items, applicant organisation should ensure that the correct designated address is clearly printed or written on the envelope and sufficient postage has been paid before posting. Mail items bearing insufficient postage may not be delivered to this Department and may be returned to the sender or disposed of by the Hongkong Post, where appropriate. Applicant organisations have to bear any consequences arising from not paying sufficient postage.

- (5) 申請機構可經社署網頁(https://www.swd.gov.hk/tc/ngo/controlofc/pspssaf/index.html)或香港政府一站通網站作網上申請。經網上提交公開籌款許可證(募集已簽署的捐款授權書)申請表格,必須於申請限期內另行將所需文件交抵社署指定地址,方可確認申請。若機構選擇以書面簽署提交網上申請,機構須於「機構主席/機構負責人的聲明」的頁面(申請表格 17-19 項)蓋上機構印章及由機構主席/機構負責人簽署,此頁的正本文件連同其他所需文件必須於申請限期內交抵社署指定地址。簽署或機構蓋章如有欠缺、屬複印或列印,該申請將不獲受理。
  - **SWD Applicant** organisations can online through website apply (https://www.swd.gov.hk/en/ngo/controlofc/pspssaf/index.html) or the GovHK's website. For on-line application of Public Subscription Permit (Solicitation of Signed Authorisation Forms), the documents required should be submitted to the designated address of SWD separately before the application deadline. If organisation opts for an online submission to be signed on paper, please sign the relevant part of the "Declaration by Chairperson / Head of Organisation" (showing item no. 17-19 of the application form) in the printed form by the Chairperson / Head of Organisation and stamp with the organisation stamp. The original copy, together with other documents required, must be submitted to the designated address of SWD separately before the application deadline. Applications missing signature or organisation stamp, or with copied/printed signature or organisation stamp will NOT be considered.
- (6) 遞交至指定地址的申請必須為正本,並須蓋上機構的印章及由機構主席/機構負責人簽署。如申請表格的「機構主席/機構負責人的聲明」部分的機構印章及/或機構主席/機構負責人簽署非正本(例如是複印或列印本),該申請將**不獲受理**。

The application submitted to the designated address must be the original copy, bearing the organisation chop and signature of the Chairperson/Head of Organisation. If the organisation chop and/or the signature of the Chairperson/Head of Organisation in the part "Declaration by Chairperson/Head of Organisation" of the application form is/are not original (e.g. copied or printed version), the application will **NOT be considered**.

(7) 申請機構一般會於社署收到申請表格起計的五個工作天內,收到社署以傳真或電子郵件發出的確認通知。如申請機構屆時仍未收到確認通知,請致電2832 4375與慈善籌款監管小組聯絡。

Applicant would normally receive an acknowledgement from SWD by fax or email within 5 working days following receipt of the application. If the organisation does not receive the acknowledgement by then, please contact the Charitable Fund-raising Control Team on 2832 4375.

#### 審核申請

## **Assessment of the Application**

(8) 申請機構約於截止申請日期後一個月內獲社署通知其公開籌款許可證(募集已簽署的捐款授權書)申請的審核結果。申請機構如不同意其審核結果,可於社署發出審核結果通知的十四個曆日內以書面的形式向社署提出,連同進一步的理據及證明文件以支持其募集已簽署的捐款授權書申請為符合資格。過期遞交的覆檢申請將不會受理。

Applicant organisations will be informed by SWD within one month after the application deadline of the assessment result on their application eligibility of the Public Subscription Permit (Solicitation of Signed Authorisation Forms). An applicant organisation which disagrees with the assessment result and asks for a review of its application eligibility in writing within 14 calendar days from the date of SWD's notice of its assessment result, should provide with further justifications and supporting documents to justify its application meeting the eligibility criteria. Late application for review will not be considered.

(9) 社署於申請機構提交覆檢申請的兩個星期內,完成覆檢申請機構提出相關的理據及文件,並 通知申請機構有關覆檢的最終結果。

SWD will complete reviewing the related justifications and documents submitted by the applicant organisations within two weeks after the receipt of the review application and inform the applicant organisations.

## 提交活動詳情資料

## Submission of Information on Details of the Activity

(10) 如申請涉及於公共街道募集已簽署的捐款授權書,符合資格的機構將獲邀於指定日期參與「地區分配」。

If the application involves solicitation of signed authorisation forms on public streets, eligible applicant organisations will be invited to participate in the "District Allocation Exercise" on a specific date.

(11) 機構須根據「地區分配」的結果,在十四個曆日內填妥於社署網頁上的籌款活動表格(供於公 共街道進行的籌款活動),並提交電子檔案(MS Excel 格式)。請勿自行編製表格,以免影響上 載獲批准的籌款活動至香港政府一站通網頁。

Applicant organisations have to fill in the Fund-raising Activity Proforma (for fund-raising activities to be conducted on public streets) which is available on SWD website according to the result of the "District Allocation Exercise" and submit the soft copy (in MS Excel format) within 14 calendar days. Please do not create your own proforma as this may affect the uploading of approved activities onto the GovHK's website.

(12) 如有關申請涉及於非公共街道的公眾地方(例如商場、港鐵站、體育場、文娱中心、公共屋邨等)募集已簽署的捐款授權書,申請機構須另行提交相關文件[詳情可參閱申請表「所需文件」的第(12)至(16)項]。申請機構一般會於社署收到文件起計的兩個工作天內,收到社署以電子郵件發出的確認通知。如申請機構屆時仍未收到確認通知,請致電2832 4375與慈善籌款監管小組聯絡。

If the application involves solicitation of signed authorisation forms in public places other than public streets (e.g. shopping malls, MTR stations, stadia, civic centres and public housing estates, etc.), applicant organisations have to submit related documents [for details please refer to items (12) to (16) of the "Documents Required" list in the application form]. Applicant organisations would normally receive an acknowledgement from SWD by email within 2 working days upon receipt of the application. If the applicant organisations do not receive the acknowledgement by then, please contact the Charitable Fund-raising Control Team on 2832 4375.

#### 發出許可證

## **Issuance of Permit**

(13) 社署將在收到籌款活動詳細表格後約兩星期內,向成功申請的機構發出於公共街道進行募集已簽署的捐款授權書許可證,及於機構遞交齊備所需文件三個完整工作天後(但不早於活動開始前一個月),發出於非公共街道的公眾地方進行募集已簽署的捐款授權書許可證。有關的機構名單亦會上載至香港政府一站通網頁。Permits of solicitation of signed authorisation forms on public streets will be issued to successful organisations within two weeks after the receipt of the Proforma(s). Permits of solicitation of signed authorisation forms in public places other than public streets will be issued to successful organisations three clear working days after their submission of all required documents, but not earlier than one month before the commencement of the event. The list of organisations concerned will also be uploaded onto GovHK's website.

#### 申請設置固定攤位作籌款活動

## Application for Setting Up Stationed Counter for Fund-raising Activity

(14) 如有關申請涉及在地政總署管理的政府土地上設置固定攤位(請參閱已上載於社署網頁內,由 地政總署提供的「指定地點清單」),申請機構在獲發許可證後,須根據所分配的地區自行向 地政總署申請暫時佔用政府土地。

Applicant organisations should apply separately to the Lands Department (LandsD) direct for temporary occupation of the government land for setting up stationed counter(s) on government lands according to the results of the district allocation after the issuance of the permit (please refer to the "list of designated spots" uploaded to SWD's website as provided by the LandsD).

#### 其他事項

## **Other Issues**

(15) 有關此公開籌款許可證(募集已簽署的捐款授權書)申請的通訊,社署一般會以電子郵件發送 給貴機構的聯絡人。

Correspondence in relation to this Public Subscription Permit (Solicitation of Signed Authorisation Forms) application will normally be sent to the contact person of your organisation via email.

(16) 貴機構的聯絡方法,包括地址、電話、傳真、電郵及聯絡人姓名等,或機構的其他資料如有 更改,應立刻通知社署。

Your organisation should inform SWD immediately of the changes, if any, in the means of contact including address, telephone number, facsimile number, e-mail address or name of contact person, etc. or other information of your organisation.

## B. 審核申請資格的準則 Eligibility Criteria

機構必須申請公開籌款許可證(募集已簽署的捐款授權書),才可為慈善用途在公眾地方以紙張或電子形式的 表格面對面募集已簽署的捐款授權書,而捐款繳付方式是透過信用卡或銀行戶口等自動轉賬等作一次性及/或 定期捐款。

A Public Subscription Permit (Solicitation of Signed Authorisation Forms) is required for face-to-face solicitation of signed authorisation forms, in paper or electronic form, in respect of donations for charitable purpose(s) in public places, where the donation payment is made on a one-off and/or regular basis, by autopay via credit cards or bank accounts, etc.

申請機構必須符合下列準則,才會被考慮獲發在公眾地方進行募集已簽署的捐款授權書的公開籌款許可證: To be considered eligible for a Public Subscription Permit (Solicitation of Signed Authorisation Forms) in public places, the applicant organisation must satisfy the following criteria —

- (1) 申請機構及受益機構(如適用)必須是非牟利機構並根據《稅務條例》(第112章)第88條獲豁免繳稅的慈善機構或信託團體。個別人士的申請將不獲考慮。
  - The applicant organisation and the beneficiary organisation(s) (if applicable) must be a bona-fide non-profit-making organisation exempt from tax under section 88 of the Inland Revenue Ordinance, Cap. 112. Any application made by an individual will not be considered.
- (2) 申請機構及受益機構(如適用)須在根據《稅務條例》(第112章)第88條獲豁免繳稅後起計至是次公開籌款許可證(募集已簽署的捐款授權書)截止申請日期,於過去三年均有舉辦慈善活動,並須提交有關慈善活動的記錄予社署作考慮其申請之用。申請機構及受益機構(如適用)需就此提供相關的經審計的周年財務報表以支持機構過去三年的慈善活動記錄。
  - The applicant organisation and the beneficiary organisation(s) (if applicable) must have organised charitable activities in each of the past three years after it was registered for tax exemption under section 88 of the Inland Revenue Ordinance, Cap. 112 by the closing date of application of Public Subscription Permit (Solicitation of Signed Authorisation Forms), and provide such record to SWD for the purpose of consideration of its application. In this regard, the applicant organisation and the beneficiary organisation(s) (if applicable) should produce corresponding audited annual financial statements to support its record of charitable activities in the past three years.
- (3) 社署須滿意申請機構及受益機構(如適用)是合適舉辦籌款活動的團體,包括但不限下列有關申請機構及受益機構(如適用)的考慮因素:
  - (a) 誠信;
  - (b) 管理能力;
  - (c) 舉辦慈善活動往績;
  - (d) 財政狀況(有關資料可在經審計的周年財務報表中反映,例如申請機構及受益機構(如適用)的財務穩定性及是否有異常財務安排等)。而社署會適當地考慮其是否遵守香港財務報告準則、香港會計準則、《公司條例》或其他適用的條例、規例、或其他報告準則擬備周年財務報表[例如,申請機構及受益機構(如適用)是否有按香港會計準則或《公司條例》的要求,提交合併財務報表等];及
  - (e) 過去曾否違反公開籌款許可證(包括募集已簽署的捐款授權書或其他籌款活動)所列條件的記錄等。

SWD must be satisfied that the applicant organisation and the beneficiary organisation(s) (if applicable) is/are suitable for organising the fund-raising activities, taking into consideration a number of factors, including but not limited to the organisation(s) and the beneficiary organisation(s)'s (if applicable) –

- (a) integrity;
- (b) management capability;
- (c) track record of previous charitable activities;
- (d) financial position (which may be reflected in the audited annual financial statement, such as its financial stability, irregular financial arrangements, etc.) In particular, its compliance with the Hong Kong Financial Reporting Standards, Hong Kong Accounting Standards, Hong Kong Companies Ordinance and other applicable ordinances, regulations or reporting requirements, etc., would be taken into account [for example, whether the applicant organisation and the beneficiary organisation(s) (if applicable) has submitted consolidated financial statement, etc.]; and
- (e) any records of previous non-compliance with the conditions of a Public Subscription Permit (including solicitation of signed authorisation forms or other fund-raising activities), etc.
- (4) 如申請機構於非公共街道的公眾地方進行擬舉辦的籌款活動,必須獲該場地管理機構的批核。 If the proposed fund-raising activity will be conducted in public places other than public streets, the applicant organisation has to obtain approval from the management authority of the venue.
- (5) 申請機構必須參與於申請表格上列明的籌款目的的相關計劃/項目/活動,不論是提供直接的服務 或舉行教育或宣傳活動。然而,受益機構則不需要符合以上條件。

The applicant organisation has to be involved in the programme/projects/activities mentioned in the purpose(s) of the related fund-raising activities listed on the application form, no matter in providing direct services or conducting educational or promotional activities. However, the beneficiary organisation(s) does not necessarily need to fulfill the above criteria.

(6) 如有需要,社署會按獎券基金諮詢委員會的建議加入額外的準則及條件。 Where necessary, SWD may impose additional criteria and conditions, on the advice of the Lotteries Fund Advisory Committee.

## C. 許可證有效期 Validity Period of the Permit

(1) 募集已簽署的捐款授權書的許可證有效期為期半年,即每年一月一日至六月三十日(上半年度的申請)或七月一日至十二月三十一日(下半年度的申請),機構可在許可證有效期內於公共街道及/或非公共街道的公眾地方進行募集已簽署的捐款授權書。機構需根據許可證條件於其許可證有效期結束後[即六月三十日(上半年度)或十二月三十一日(下半年度)]提交一份收支結算表。如機構獲批全年進行募集已簽署的捐款授權書,則需於全年結束後(即十二月三十一日)提交一份收支結算表。

The period of the permit for solicitation of signed authorisation forms is valid for half year, i.e. from 1<sup>st</sup> January to 30<sup>th</sup> June (Application for Former Half) or from 1<sup>st</sup> July to 31<sup>st</sup> December (Application for Latter Half). The applicant organisation can conduct solicitation of signed authorisation forms on public streets and/or in public places other than public streets within the permit period. The applicant organisations have to submit an income and expenditure account according to the permit conditions after the end of the valid period of the permit they apply, i.e. 30<sup>th</sup> June (former half year) or 31<sup>st</sup> December (latter half year). If the applicant organisations have approved to conduct solicitation of signed authorisation forms for the whole year, they have to submit an income and expenditure account after the end of the year, i.e. 31<sup>st</sup> December.

(2) 申請機構擬於公共街道及/或非公共街道的公眾地方進行募集已簽署的捐款授權書,都必須於截止申請日期前提交申請,並表明其欲申請許可證的期限。機構如申請於公共街道進行募集已簽署的捐款授權書,必須參與相關年度的「地區分配」。至於如機構申請於非公共街道的公眾地方進行募集已簽署的捐款授權書,可於其許可證的有效期內提交場地管理機構發出的批准通知書,向社署申請個別場地的許可證,社署會根據每次場地管理機構發出的批准通知書簽發許可證予機構,許可證上會列明機構所申請的有效期及籌款活動的開始日期和結束日期,並於附錄列出機構獲批的籌款活動的日期、時間、地點及籌款方式等詳情。

Applicant organisations which intend to conduct solicitation of signed authorisation forms on public streets and/or in public places other than public streets are required to submit applications before the deadline and indicate the period of the permit applying for. For those organisations which apply to conduct solicitation of signed authorisation forms on public streets have to participate in the concerned "District Allocation Exercise". As regards those organisations which apply to conduct solicitation of signed authorisation forms in public places other than public streets can submit the approval from the management authority of the venue(s) within the validity period of the permit to SWD for the individual venue(s). SWD will issue permits to the applicant organisations according to the approval issued by the management authority of the venue(s). The validity period, and the start date and end date of the fund-raising activities applied by the organisation will be stated on the permit, while details of the fund-raising activity, including date, time, venue and method of fund-raising, etc. will be listed in the Appendix.

(3) 擬於全年在公共街道及/或非公共街道的公眾地方進行募集已簽署的捐款授權書的申請機構,可於遞交適用於翌年上半年度或翌年全年度的申請表格時表明意願同時選擇申請在上半年度及下半年度舉行活動。合資格的申請機構將不需要另提交申請下半年度的申請表格,但必須參與期後的「地區分配」,社署會於適當時候通知有關機構「地區分配」的詳情。機構需根據許可證條件於該年度的募集已簽署的捐款授權書的有效期後(即十二月三十一日)提交一份收支結算表。

Applicant organisations which plan to conduct solicitation of signed authorisation forms on public streets and/or in public places other than public streets for the whole year can indicate their intention in the application form applicable for the former half year or the whole of the next year by selecting both former half year and latter half year. Eligible applicant organisations will not be required to submit a separate application form for the Latter Half Year, but have to participate in the subsequent "District Allocation Exercise". SWD will inform the applicant organisations concerned details of the "District Allocation Exercise" in due course. The organisations have to submit one copy of income and expenditure account according to the permit conditions after the end of the validity period of the permit (i.e. 31st December).

## D. 地區分配 (適用於公共街道的籌款活動)

District Allocation Exercise (Applicable to Fund-raising Activity conducted on Public Streets)

符合資格的申請機構會按以下安排決定獲分配進行募集已簽署的捐款授權書的日期及地區: Dates and districts for conducting solicitation of signed authorisation forms will be allocated to eligible applicant organisations according to the following arrangement –

- (1) 符合申請資格的機構,會獲邀參與每半年舉行一次的「地區分配」,以抽籤形式決定各機構的優先次序以揀選籌款活動日期及地區。
  - The eligible applicant organisations will be invited to participate in the "District Allocation Exercise", to be conducted once every half a year, to select the dates and districts of the fund-raising activities according to their respective priorities.
- (2) 獲發許可證的機構可根據全港十八個區議會選區為基礎,於區內以流動方式進行募集已簽署的捐款授權書。機構可參考選舉管理委員會的網頁https://www.eac.hk/ch/distco/dce.htm 查閱最新的十八個區議會選區的分界。

The Permittee is permitted to move around for conducting solicitation of signed authorisation forms on a district basis following the constituency areas of 18 District Councils. Organisations may refer to Electoral Affairs Commission's website at https://www.eac.hk/ch/distco/dce.htm for the up-to-date boundaries of the constituency areas of 18 District Councils.

- (3) 每區每天最多可供八間機構同時進行募集已簽署的捐款授權書。 A maximum of 8 organisations are allowed to conduct solicitation of signed authorisation forms in each district simultaneously every day.
- (4) 「地區分配」結束後,機構不能與其他機構交換所選擇的日期及/或地區。 Organisations cannot exchange the selected slots with other organisations after the district allocation.
- (5) 機構須根據「地區分配」的結果,在十四個曆日內填妥於社署網頁上籌款活動表格(供於公共街道進行的籌款活動),並提交電子檔案(MS Excel 格式)。為確保有效利用公眾資源,機構必須每星期最少有五天(除非那些有多於兩天公眾假期的星期)於獲分配的地區內進行募集已簽署的捐款授權書。

Applicant organisations have to fill in the Fund-raising Activity Proforma (for fund-raising activities to be conducted on public streets) which is available on SWD website according to the result of the "District Allocation Exercise" and submit the soft copy (in MS Excel format) within 14 calendar days afterward. To ensure effective usage of public resources, organisations have to conduct solicitation of signed authorisation forms at the allocated districts for at least 5 days per week (except those weeks with more than two days of public holiday).

## E. 公開籌款許可證(募集已簽署的捐款授權書)的條件

**Conditions of Public Subscription Permit (Solicitation of Signed Authorisation Forms)** 

#### I. 獲發許可證的機構必須遵守以下條件:

The Permittee must comply with the following conditions –

(1) 獲發許可證的機構須持續符合公開籌款許可證(募集已簽署的捐款授權書)申請須知中B部 所列相關的審核申請資格。

The Permittee shall continue to meet the applicable eligibility criteria as stipulated in Part (B) of the Explanatory Notes on Application for Public Subscription Permit (Solicitation of Signed Authorisation Forms).

(2) 獲發許可證的機構除了必須遵守許可證所列條件外,亦須確保參與籌辦募集已簽署的 捐款授權書的機構及人士均清楚知悉及嚴格遵守有關條件。

In addition to its own compliance with the permit conditions, the Permittee shall ensure that the agencies and persons organising the solicitation of signed authorisation forms are fully aware of and strictly comply with these conditions.

- (3) 許可證不得轉讓。許可證不得由獲發許可證機構的員工及籌款人員以外的人士持有。 The Permit is not transferable. The Permit must not be possessed by persons other than the staff and fund-raisers of the Permittee.
- (4) 獲批准的募集已簽署的捐款授權書,只可在許可證指定的日期、時間、地點及收集捐款的 方法舉行。機構如違反有關規定,已可能觸犯有關法例。

The solicitation of signed authorisation forms can only be conducted at the date, time, location and by the method of donation collection as specified in the Permit. Permittee may be committing an offence for conducting solicitation of signed authorisation forms outside the date, time, location and method of donation collection specified on the Permit.

(5) 獲發許可證的機構如於公共街道進行募集已簽署的捐款授權書,只可在「地區分配」中所獲分配的地區內進行,各地區的範圍應以十八個區議會的選區分界圖為依據。

The Permittee can only conduct solicitation of signed authorisation forms within the districts allocated in the "District Allocation Exercise". The areas covered in respective districts should be based on the Constituency Boundary Maps of 18 District Councils.

(6) 獲發許可證的機構如於地政總署管理的政府土地上(必須在「地區分配」中所分配的地區內)設置固定攤位,或於非公共街道的公眾地方(例如商場、港鐵站、體育場、文娛中心、公共屋邨等)募集已簽署的捐款授權書,須獲得地政總署或相關的活動場地的管理機構的批准。

The Permittee should obtain the approval from LandsD for temporary occupation of the government land for setting up stationed counter(s) at government land (must be within the districts allocated in "District Allocation Exercise") or the management authority of the venue(s) for conducting solicitation of signed authorisation forms in public places other than public streets (e.g. shopping malls, MTR stations, stadia, civic centres and public housing estates, etc.).

(7) 獲發許可證的機構在指定的節日假期,包括元旦日、農曆新年、清明節、香港特別行政區成立 紀念日、重陽節及國慶日,於公共街道進行募集已簽署的捐款授權書,不得向地政總署申請 於其管理的政府土地上設置固定攤位,以維持公共秩序及避免造成滋擾。然而,如機構在這 些指定的節日假期,於非公共街道的公眾地方進行募集已簽署的捐款授權書,該慈善籌款活 動的日期、時間、地點、慈善籌款方式及籌款人員數目等安排,需視乎活動場地管理機構 的批核而定。

To maintain public order and avoid causing nuisance, the Permittee is not allowed to apply to the LandsD for temporary occupation of the government land for setting up stationed counter(s) on government lands on public streets to conduct solicitation of signed authorisation forms on the following festive public holidays: New Year Day, Chinese New Year, Ching Ming Festival, Hong

Kong Special Administrative Region Establishment Day, Chung Yeung Festival and National Day. However, if organisations conduct solicitation of signed authorisation forms in public places other than public streets on these festive public holidays, the arrangements of the charitable fund-raising activities on date, time, venue, method of charitable fund-raising and number of fund-raisers, etc. are subject to the approval of the management authority of the venue(s).

- (8) 獲發許可證的機構只可在賣旗日下午一時正或之後開始進行於公共街道募集已簽署的捐款授權書。然而,如機構獲得活動場地管理機構的批准,在賣旗日於非公共街道的公眾地方進行募集已簽署的捐款授權書,則不受此限制。有關賣旗日的資料已載於社署網頁。
  - The Permittee can only conduct solicitation of signed authorisation forms on public streets at or after 1:00 p.m. on a flag day. However, if the Permittee has obtained approval from management authority of a venue to conduct solicitation of signed authorisation forms in public places other than public streets on a flag day(s), it will not be bounded by this restriction. Details of flag days are available at SWD website.
- (9) 一般而言,獲發許可證的機構於每個獲批准的地區的公共街道最多可安排不超過六位籌款人員(包括於固定攤位駐守及以流動方式募捐的人員)。至於在非公共街道的公眾地方(例如商場、港鐵站、體育場、文娛中心、公共屋邨等)進行慈善籌款活動,機構須按有關場地管理機構的規定,安排籌款人員的數目。

Generally speaking, the Permittee is allowed to deploy no more than 6 fund-raisers (including those in the stationed counter and those moving around for solicitation) on the public street at each approved district. For charitable fund-raising activities to be conducted in public places other than public streets (e.g. shopping malls, MTR stations, stadia, civic centres, public housing estates, etc.), the Permittee may deploy the number of fund-raisers as stipulated by the management authority of the venue(s) concerned.

- (10) 獲發許可證的機構的籌款人員在公共街道上進行募集已簽署的捐款授權書時,必須盡量與其他持有公開籌款許可證的機構的籌款人員保持至少十米範圍的距離。
  - The fund-raisers of the Permittee are requested to maintain a distance of 10 meters as far as possible from other fund-raisers of the Public Subscription Permit Permittees when conducting solicitation of signed authorisation forms on the public streets.
- (11) 不得向道路或公路上的車輛乘客和司機或公共交通工具上的乘客和司機募集已簽署 的捐款授權書。

The signed authorisation forms should not be solicited from passengers and drivers in vehicles on roads or highways or on board a public transport vehicle.

- (12) 籌款人員不得阻礙或滋擾他人或阻塞公眾地方,包括但不局限於各公共交通的出入口 (例如巴士總站/站、渡輪碼頭、香港鐵路轄下各路線的車站、山頂纜車站及機場客運 大樓等)。如有市民投訴籌款活動造成滋擾或帶來不便,籌款人員應遵照現場警方人員 或場地的管理機構所發出的指示。
  - Fund-raisers should not cause nuisance or obstruction in public places, including but not restricted to areas next to the entrances/exits of public transport (e.g. bus terminals/stops, ferry piers, Stations of all lines of the Mass Transit Railway, The Peak Tramways Stations, Airport Passenger Terminal Building, etc.). If any complaint is received from the public about any nuisance or inconvenience caused, fund-raisers should follow the instructions given by police officers or the management authority of the venue(s) concerned at the scene.
- (13) 獲發許可證的機構須確保為已獲准舉辦的募集已簽署的捐款授權書及相關的慈善籌款 目的安排足夠宣傳。

The Permittee must ensure that there is sufficient publicity for the approved solicitation of signed authorisation forms and the purpose(s) of the charitable fund-raising activities concerned.

(14) 獲發許可證的機構應制定適當的募集已簽署的捐款授權書計劃和人手調配安排。機構在安排員工或籌款人員進行籌募活動時,須考慮各種因素,包括員工或籌款人員的年齡、身體需要和健康狀況(例如部分兒童、長者或行動不便的人士或不宜長時間站立),以及慈善籌款活動當日的天氣情況等,以就他們的個別狀況提供適當及足夠的照顧、培訓及指導,以確保其有能力進行慈善籌款活動,以及參與人士及公眾的安全。另外,如獲發許可證的機構安排動物協助進行慈善籌款活動,須妥善照顧有關動物。

The Permittee should devise appropriate plans for conducting solicitation of signed authorisation forms and deploying of participants. When deploying staff or fund-raisers to conduct solicitation, the Permittee should provide proper and sufficient care, training and coaching to the participants based on their individual conditions to ensure their competence in conducting the charitable fund-raising activities, and safety of the participants and the public, after taking into account various factors, such as age, physical needs and health conditions of the staff or fund-raisers (e.g. standing for an extended period of time may not be suitable for some children, elderly persons or mobility-handicapped persons), as well as the weather condition on the charitable fund-raising days, etc. Besides, if the Permittee arranges animals to assist in conducting the charitable fund-raising activities, it should provide proper care to the animals concerned.

(15) 獲發許可證的機構須確保籌款人員佩帶符合社署指定樣式的籌款人員證。籌款人員證 上須印有適用的許可證編號、籌款活動的開始日期和結束日期、籌款標誌連同中英文 字"慈善籌款"和"Charitable Fund-raising"、獲發許可證的機構名稱、機構徽號(如適用)、 機構聯絡人及電話號碼。上述資料不能塗改及手寫。

The Permittee must ensure that its fund-raisers wear identification badges in the format specified by SWD. The corresponding Permit number, the start date and end date of the fund-raising activities, the common logo with English and Chinese wording of "Charitable Fund-raising" and "慈善寡款", as well as the name, logo (if applicable), contact person and telephone number of the Permittee must be printed on the identification badges. The above-mentioned information shall not have been altered or handwritten.

(16) 籌款人員證上的二維碼須連結至「香港政府一站通」內「獲批准的籌款活動-活動詳情」網頁,以供市民查閱。

QR code, as printed on badges wore by fund-raisers, must direct to the "Activity Details of Approved Fund-raising Activities" website under Gov.HK for public inspection.

- (17) 申請機構須接受社署職員實地視察申請機構、其服務中心以及所舉辦的活動。 The applicant organisation must accept the staff member(s) of SWD to conduct on-site inspection of the organisation, its service unit(s) and activities.
- (18) 所有簽署的捐款授權書必須出於自願,不得強逼任何人簽署或以任何其他方式捐助。 All authorisation forms signed must be purely voluntary and no pressure should be brought on any person to sign or contribute in any other way.
- (19) 募集已簽署的捐款授權書所籌得的款項,必須只用於許可證註明的籌款目的。募集已簽署的捐款授權書的收入不可用於從事或支持任何違反香港法例的行為和活動(包括從事或支持任何非法或不利於國家安全的行為和活動)。如有下列任何情況,社署可即時撤銷已批准的募集已簽署的捐款授權書的許可證:(a)機構曾經參與或正在參與可能會構成或導致發生危害國家安全罪行或不利於國家安全的行為或活動;(b)機構繼續聘用其承包商、服務提供者或供應商將不利於國家安全;或(c)政府合理地相信上述任何一種情況將會發生。

The funds raised from the solicitation of signed authorisation forms must be used only for the purpose(s) specified in the permit. No solicitation of signed authorisation forms proceeds should be used for engaging in or in support of any acts or activities which are in violation of the laws of Hong Kong (including engaging in or supporting any acts or activities which are unlawful or contrary to the interests of national security). SWD may immediately revoke the Permit for Solicitation of Signed Authorisation Forms upon the occurrence of any of the following events: (a) the organisation has engaged or is engaging in acts or activities that are likely to constitute or cause the occurrence of offences endangering national security or which would otherwise be contrary to the interest of national security; (b) the continued engagement of contractors, service providers or

suppliers by the organisation is contrary to the interest of security; or (c) the Government reasonably believes that any of the events mentioned above is about to occur.

- (20) 除指定的受益對象外,其他人士不得從募集已簽署的捐款授權書所籌得款項中不當地 獲取利益。
  - No person shall benefit improperly from the proceeds of the funds raised from Solicitation of Signed Authorisation Forms except the designated beneficiaries.
- (21) 獲發許可證的機構須採取足夠的保安措施,妥善保管所獲得的已簽署的捐款授權書。 The Permittee must take sufficient measures to ensure the safekeeping of the the signed authorisation forms collected.
- (22) 獲發許可證的機構必須參考《擬備公開籌款許可證(募集已簽署的捐款授權書)的收支 結算表及相關內部規管措施的指引》,以制訂合適的內部監控措施及編製收支結算表

The Permittee shall make reference to the "Guidelines on Preparing the Income and Expenditure Account and Corresponding Internal Control Measures of the Public Subscription Permit (Solicitation of Signed Authorisation Forms)" to formulate appropriate internal control measures and prepare the income and expenditure account.

(23) 獲發許可證的機構必須對許可證批准的募集已簽署的捐款授權書所籌得的款項及支出負責,並確保妥善使用所籌得的款項。獲發許可證的機構必須編製一份收支結算表,在其申請進行募集已簽署的捐款授權書的有效期結束後起計九十日內,將該收支結算表(包括有關的備註連同核數師報告)的正本及其PDF版本(如籌得的款項會在本港以外地方使用,須分別以中文及英文擬備),遞交社會福利署署長(社署署長)審核及上載至香港政府一站通網頁,以供公眾於十二個月內查閱。

The Permittee shall be held accountable for the funds raised and disbursements made, as well as the proper use of the donations in relation to the solicitation of signed authorisation forms approved under the Permit. The Permittee is required to prepare an income and expenditure account, of which the original hard copy (including related notes together with the auditor's report thereon) and its PDF file (shall be in both Chinese and English if the funds raised are to be spent outside Hong Kong) should be submitted within 90 days after the end of the valid period which the permittee applied for conducting solicitation of signed authorisation forms to the Director of Social Welfare (DSW) for checking and uploading to GovHK's website for public inspection for 12 months.

- (24) 獲發許可證的機構必須按應計制編製一份收支結算表,(請參照已上載於社署網頁的範本),並列明所有相關許可證編號,當中需包括:
  - (a) 獲批准的募集已簽署的捐款授權書的許可證有效期[即由一月一日至六月三十日(上半年度)/由七月一日至十二月三十一日(下半年度)]。如機構獲批全年進行募集已簽署的捐款授權書,則需於全年結束後(即十二月三十一日)提交一份經審計的收支結算表。
  - (b) 於本許可證批准下,經由在公眾地方進行募集已簽署的捐款授權書,來自新招募的捐款者的捐款;
  - (c) 於本許可證發出前,經由在公眾地方進行募集已簽署的捐款授權書,來自以往招募的捐款者的捐款(如適用);
  - (d) 每項與獲批准的募集已簽署的捐款授權書有關的支出細項(例如籌款人員工資、審計、運輸、印刷及文具費用等);
  - (e) 獲批准的募集已簽署的捐款授權書的淨收入;及
  - (f) 獲批准的募集已簽署的捐款授權書的籌款目的。

The Permittee is required to prepare an income and expenditure account with all relevant permit numbers listed (please refer to the sample which has been uploaded onto SWD's website) on accrual basis that should include –

- (a) the approved validity period of the permit for solicitation of signed authorisation forms [i.e. from 1 January to 30 June (former half year) / from 1 July to 31 December (latter half year)]. If the applicant organisations have approved to conduct solicitation of signed authorisation forms for the whole year, they have to submit an audited income and expenditure account after the end of the year, i.e. 31st December;
- (b) donation received from newly recruited donors from conducting solicitation of signed authorisation forms in public places under the approval of the current permit;
- (c) donation received from previously recruited donors from conducting solicitation of signed authorisation forms in public places before the issuance of the current permit, if applicable;
- (d) a detailed breakdown of each expenditure item (e.g., salaries for fund-raisers, auditing fee, transportation expenses, printing and stationery, etc.) related to the approved solicitation of signed authorisation forms:
- (e) net proceeds from the approved solicitation of signed authorisation forms; and
- (f) the fund-raising purpose(s) of the approved solicitation of signed authorisation forms.
- (25) 獲發許可證的機構須委託會計師或會計師事務所或執業法團審閱募集已簽署的捐款授權書收支結算表,及根據香港會計師公會最新的實務說明第850號「有關獲發社會福利署公開籌款許可證的賣旗日、一般慈善籌款活動和募集已簽署的捐款授權書之報告」擬備有關募集已簽署的捐款授權書收支結算表的獨立執業會計師監證報告。有關的會計師或會計師事務所或執業法團,須屬《會計及財務匯報局條例》(第588章)第2(1)條所界定的執業單位。

The Permittee shall engage an accountant or an accounting firm or a corporate practice to review the income and expenditure account of the solicitation of signed authorisation forms and prepare an independent practitioner's assurance report on the income and expenditure account in accordance with the latest Practice Note (PN) 850 issued by the Hong Kong Institute of Certified Public Accountants, "Reporting on Flag Days, General Charitable Fund-raising Activities and Solicitation of Signed Authorisation Forms Covered by Public Subscription Permits issued by the Social Welfare Department". The accountant, accounting firm, or the corporate practice has to be a practice unit as defined by section 2(1) of the Accounting and Financial Reporting Council Ordinance (Cap. 588).

(26) 如籌得的款項會捐贈予任何機構作慈善用途,獲發許可證的機構須在申請進行募集已 簽署的捐款授權書的有效期結束後起計九十日內提交該接收款項機構發出的收據副本 一份。

If the funds raised are donated to any organisation or institution for charitable purpose(s), the Permittee is required to submit a copy of the receipt from the organisation or institution within 90 days after the end of the valid period which the permittee applied for conducting solicitation of signed authorisation forms.

(27) 獲發許可證的機構必須將已列載於收支結算表內,獲批准的募集已簽署的捐款授權書的資料,包括收入總額、開支總額、淨收入,以及慈善籌款活動的目的,按個別許可證編號,獨立列載於許可證有效期結束後的經審計的年度財務報告表內,並將副本提交社署作紀錄。

The Permittee is required to publish information of the approved solicitation of signed authorisation forms, including gross income raised, gross expenditure incurred, net proceeds, and purpose(s) of the charitable fund-raising activities as shown on audited income and expenditure account, listed separately by respective Permit Number(s), in its audited annual financial statement after the end of the validity period of the permit, with a copy of which to be lodged with SWD for record purpose.

#### Ⅱ. 違反公開籌款許可證的條件

## Non-compliance with the Conditions of Public Subscription Permit

(1) 社署署長將考慮申請機構過去曾否違反任何公開籌款許可證(包括募集已簽署的捐款授權書或其他籌款活動)所列的條件的紀錄,以評估其日後的公開籌款許可證申請。有關違反記錄可能導致日後的公開籌款許可證申請不獲接納。

The DSW shall take into account any records of previous non-compliance with the conditions of a Public Subscription Permit (including solicitation of signed authorisation forms or other fund-raising activities) in the assessment of the applicant organisation's subsequent applications. Such non-compliance record may result in rejection of its subsequent application(s).

(2) 獲發許可證的機構在舉辦已獲批准的慈善籌款活動期間,如出現頻密違反公開籌款許可 證條件的情況,甚或社署署長不再信納該機構適合舉辦慈善籌款活動,社署署長可撤回 許可證所批核的部份或全部慈善籌款活動。

The DSW may revoke part of or the whole charitable fund-raising activities approved by the Public Subscription Permit issued in the event of frequent breaching of permit conditions by the Permittee during the course of the charitable fund-raising activities approved in the Permit, or in the event that the DSW could no longer be satisfied that the organisation is suitable for organising the charitable fund-raising activities.

- (3) 如獲發許可證的機構出現嚴重或重複違反公開籌款許可證條件的情況(例如逾期提交獲批准慈善籌款活動的收支結算表,包括有關的備註連同核數師的報告等),社署除向有關機構發出告誠外,亦會考慮作出以下安排:
  - (a) 將有關機構的名稱及違規事項於社署的網頁內公布最少六個月;
  - (b) 取消機構申請賣旗日及/或舉行其他慈善籌款活動的資格;
  - (c) 將機構從賣旗日分配機制的現行候補名單上剔除(如有的話);
  - (d) 暫停處理機構的所有慈善籌款活動許可證申請(如有的話);及
  - (e) 撤銷已發出予機構的許可證(如有的話)。

申請機構可參閱《違反公開籌款許可證條件的後果》(載於社署網頁:

https://www.swd.gov.hk/storage/asset/section/221/tc/Consequence\_of\_Non-compliance with Permit Conditions Chi 24.6.2021.pdf)

In the event of serious or repeated non-compliance of conditions of a Public Subscription Permit by the Permittee (e.g. delay in submission of income and expenditure account including the related notes together with the auditor's report thereon of charitable fund-raising activities), SWD, in addition to issuing warning to the organisation concerned, may also consider making the following arrangements -

- (a) publicising the name of the organisation concerned and the non-compliance incident on SWD's website for at least six months;
- (b) disqualifying the organisation from applying for flag day and/or organisinig other charitable fundraising activities;
- (c) removing the organisation from the prevailing waiting list(s) of the flag day allocation exercise(s), if any;
- (d) suspending the organisation's application(s) for Public Subscription Permit(s) for organising other charitable fund-raising activities, if any; and
- (e) revoke the Public Subscription Permit(s) that has/have already been issued to the organisation, if any.

Applicant organisation may refer to the set of "Consequences of Non-compliances with Public Subscription Permit Conditions" (available on SWD's website at -

https://www.swd.gov.hk/storage/asset/section/221/en/Consequence\_of\_Non-compliance with Permit Conditions Eng 24.6.2021.pdf)

## F. 其他須遵守的事項

#### Other Points to Observe

申請機構/獲發許可證的機構除必須遵守許可證所列的條件外,亦須按個別情況遵守下列各項: Apart from complying with the conditions specified in the Permit, the applicant organisation/Permittee must also observe the following points where applicable –

- (1) 在任何情況下,許可證並未免除貴機構須遵從擬舉辦的籌款活動的任何法定要求/其他規定的責任,亦不會影響或修改貴機構與其他有關當局所協議的任何規定,例如貴機構必須取得有關場地的管理機構的批准。如貴機構仍未取得有關批准,請立即提出申請。如有獎券籌款活動與許可證所批准的籌款活動同時進行,貴機構須向民政事務總署牌照事務處申請牌照。如有其他綜合表演或娛樂節目形式的籌款活動在公眾地方舉行,也必須向食物環境衞生署(食環署)申領公眾娛樂牌照。如在公眾地方進行街頭販賣,則可能須向食環署申領臨時小販牌照。
  - Under no circumstances will the Permit release your organisation from the obligations of compliance with any statutory/other requirements of the proposed fund-raising activities or affect or modify any requirements under agreements your organisation may have already entered with other authorities. For example, your organisation must obtain an approval from the relevant management authority of the venue(s). If such approval has not yet been obtained, please make an application immediately. If a fund-raising lottery is organised at the same time with the fund-raising activities approved under the Permit, a licence from the Office of the Licensing Authority of the Home Affairs Department is required. If there are other fund-raising activities to be organised in the form of variety shows or entertainment in public places, a Places of Public Entertainment Licence is also required from the Food and Environmental Hygiene Department. A Temporary Hawker Licence may also be required from the Food and Environmental Hygiene Department for on-street hawking in public places.
- (2) 獲發許可證的機構應與合適的協作單位(如有的話)及/或義工合作,舉辦募集已簽署的捐款授權書及/或有關活動,並為他們制訂活動安排的清晰指引,及採取預防措施,防止任何詐騙的行為。若貴機構發現遺失已簽署的捐款授權書,或懷疑有詐騙行為,應立即向社署報告及尋求警方協助。

The Permittee should organise the solicitation of signed authorisation forms and/or related activities with suitable co-organising units, if any, and/or volunteers, and prepare clear guidelines to them and take precautions against possible fraud. In case of loss of signed authorisation forms or any suspected fraudulent acts, the Permittee should report to SWD and seek the Police's assistance immediately.

- (3) 獲發許可證的機構應在機構網頁列出在每區進行的慈善籌款活動的資料,供市民參閱。
  The Permittee is advised to list out the information of the charitable fund-raising activities conducted in each district on your website for public's reference.
- (4) 為提高機構財務狀況的透明度,獲發許可證的機構應考慮將經審計的周年財務報表上載至機構網頁,或透過其他途徑(如機構刊物)公布,以便公眾人士查閱。
  To enhance the transparency of the organisation's financial situation, the Permittee should consider publishing the audited annual financial statements on the organisation's website or through other channels (e.g. publications
- (5) 貴機構應避免使用標籤/貼紙/小旗等作為向捐款者派發的紀念品(如有的話),以免公眾人士 誤會該慈善籌款活動為賣旗活動。
  - Your organisation should avoid distributing such items as labels/stickers/small flags as souvenirs to donors, if any, which may result in the charitable fund-raising activities being mistaken for flag selling activities by the public.
- (6) 獲發公開籌款許可證於公眾地方進行慈善籌款活動的活動資料,將上載於香港政府一站通網頁 (http://www.gov.hk/fundraising)。
  - The information about charitable fund-raising activities in public places granted with Public Subscription Permit will be uploaded onto the GovHK's website (http://www.gov.hk/fundraising).

of the organisation) for public inspection.

- (7) 社署建議申請機構/獲發許可證的機構可參考和自願遵守以下文件:
  - 《慈善籌款良好實務指引》(可於香港政府一站通網頁下載: https://www.gov.hk/tc/theme/fundraising/guide/);
  - 《慈善籌款活動內部財務監管指引說明》(可於社署網頁下載: https://www.swd.gov.hk/storage/asset/section/226/tc/Guidance Note Chi.pdf);及
  - 《慈善機構及籌款活動管理》防貪錦囊(可於廉政公署網頁下載: http://www.icac.org.hk/filemanager/tc/Content 1031/fund raising.pdf)。

Applicant organisations/Permittees are recommended to make reference to the following documents for voluntary compliance –

- "Good Practice Guide on Charitable Fund-raising" (can be downloaded from the GovHK's website at: <a href="https://www.gov.hk/en/theme/fundraising/guide">https://www.gov.hk/en/theme/fundraising/guide</a>);
- "Guidance Note on Internal Financial Controls for Charitable Fund-raising Activities" (can be downloaded from SWD's website at: https://www.swd.gov.hk/storage/asset/section/226/en/Guidance\_Note\_Eng.pdf); and
- "Best Practice Checklist Management of Charities and Fund-raising Activities" (can be downloaded from the Independent Commission Against Corruption's website at: <a href="http://www.icac.org.hk/filemanager/tc/Content\_1031/fund\_raising.pdf">http://www.icac.org.hk/filemanager/tc/Content\_1031/fund\_raising.pdf</a>).

## G. 查詢

## **Enquiries**

查詢有關公開籌款許可證(募集已簽署的捐款授權書)申請的資料,請致電 3748 9788 或 2832 4324,或傳真至 2838 0441 或電郵至 pspenq@swd.gov.hk與社署慈善籌款監管小組聯絡。如對在公眾地方舉行的慈善籌款活動有查詢或投訴,可致電熱線 3142 2678 (由「1823」接聽)。

For enquiries relating to applications for Public Subscription Permit (Solicitation of Signed Authorisation Forms), please call 3748 9788 or 2832 4324 or fax to 2838 0441 or email to pspenq@swd.gov.hk. For enquiries or complaints in relation to charitable fund-raising activities held in public places, please call hotline 3142 2678 (to be answered by "1823").

社署獎券基金計劃組的辦公時間為星期一至五上午八時四十五分至下午一時及下午二時至六時。 The office hours of our Lotteries Fund Projects Section are from 8:45 a.m. to 1:00 p.m. and from 2:00 p.m. to 6:00 p.m., Monday to Friday.

## H. 收集個人資料前向資料當事人發出的收集個人資料聲明

Personal Information Collection Statement to Data Subject Before Collection of Personal Data

向社署提供個人資料<sup>2</sup>之前,請先細閱本聲明。

Please read this notice before you provide any personal data<sup>1</sup> to SWD.

#### 收集資料的目的

#### **Purposes of Collection**

(1) 社署及/或獲社署提供津助/資助的非政府機構,或由社署委託的非政府機構,將會使用你所提供的個人資料,向你及/或你的家人提供你及/或你的家人所需要的及由社署及/或上述非政府機構提供的援助或服務,包括(但不限於)用於監察和檢討各項服務、處理有關你及/或你的家人所獲得服務的投訴、進行研究及調查、製備統計數字、履行法定職責等。向社署提供個人資料純屬自願。不過,如你未能提供所要求的個人資料,本署可能無法處理你的申請或向你及/或你的家人提供援助/服務。

The personal data supplied by you will be used by SWD and/or those non-governmental organisations (NGOs) which receive subventions or subsidies from or which are commissioned by SWD to provide you and/or your family members with assistance or service from SWD and/or the aforementioned NGOs which is relevant to the needs of you and/or your family members, including but not limited to monitoring and reviewing of services, handling complaints related to the services provided to you and/or your family members, conducting research and surveys, preparing statistics and discharging statutory duties. The provision of personal data to SWD is voluntary. However, if you fail to provide the personal data requested of you, we may not be able to process your application or provide assistance/service to you and/or your family members.

## 可能獲轉移資料者

#### **Classes of Transferees**

(2) 你所提供的個人資料,會按需要知道的原則提供給在社署工作的職員。除此之外,該等個人資料亦可能 會為上文第1段所述的目的而向下列機構/人士披露,或在下述情況下披露:

The personal data you provide will be made available to persons working in SWD on a need-to-know basis. Apart from this, they may be disclosed to the parties or in the circumstances listed below for the purposes mentioned in paragraph 1 above –

(a) 其他機構/人士(例如政府決策局/部門、醫院管理局、非政府機構、公用事業公司等),如該等機構/人士有參與以下事項:

Other parties such as government bureaux/departments, the Hospital Authority, NGOs and public utility companies if they are involved in -

- (i) 審批及/或評估你及/或你的家人就上文第1段所提及社署及/或非政府機構向你及/或你的家人提供服務/援助而提出的任何申請;
  - processing and/or assessing any application from you and/or your family members for the provision of service/assistance to you and/or your family members by SWD and/or the NGOs mentioned in paragraph 1 above;
- (ii) 上文第1段所提及社署及/或非政府機構向你及/或你的家人所提供的服務/援助;或 the provision of service/assistance to you and/or your family members by SWD and/or the NGOs mentioned in paragraph 1 above; or

Under the Personal Data (Privacy) Ordinance, Cap. 486, personal data means any data -

- (a) 直接或間接與一名在世的個人有關的;
  - relating directly or indirectly to a living individual;
- (b) 從該資料直接或間接地確定有關的個人的身份是切實可行的; 及 from which it is practicable for the identity of the individual to be directly or indirectly ascertained; and
- (c) 該資料的存在形式令予以查閱及處理均是切實可行的。

in a form in which access to or processing of the data is practicable.

<sup>2</sup>根據《個人資料(私隱)條例》(第486章),個人資料指符合以下說明的任何資料-

- (iii) 監察和檢討上文第1段所提及社署及/或非政府機構所提供的服務,或製備統計數字; monitoring and reviewing of the services provided by SWD and/or the NGOs mentioned in paragraph 1 above or preparing statistics;
- (b) 處理投訴的機構(例如申訴專員公署、個人資料私隱專員公署、社會工作者註冊局、立法會等),如果這些機構正在處理有關社署向你及/或你的家人所提供的服務或援助的投訴; Complaint handling authorities such as the Office of the Ombudsman, the Office of the Privacy Commissioner for Personal Data, the Social Workers Registration Board, the Legislative Council, etc. if they are handling complaints about the services or assistance provided to you and/or your family members by SWD;
- (c) 法律授權或法律規定須披露資料;或 Where such disclosure is authorised or required by law; or
- (d) 你曾就披露資料給予訂明同意。 Where you have given your prescribed consent to such disclosure.

#### 查閱個人資料

#### **Access to Personal Data**

(3) 按照《個人資料(私隱)條例》(第486章),你有權就社署所持有的有關你的個人資料提出查閱及改正要求。 社署提供個人資料複本將須收取費用。如需查閱或改正社署收集的個人資料,請向以下人士提出: You have the right to request access to and correction of your personal data held by SWD in accordance with the Personal Data (Privacy) Ordinance (Cap 486). A fee is charged for supplying copies of personal data. Requests for access to and correction of personal data collected by SWD should be addressed to -

社會福利署署長 Director of Social Welfare

36樓3601至02室

[經辦人:行政主任(獎券基金)4] [Attn: Executive Officer (Lotteries Fund)4] 辦事處地址 :香港灣仔皇后大道東248號 Office Address : Rooms 3601-02, 36/F,

辦事處地址: 香港灣仔皇后大道東248號Office Address: Rooms 3601-02, 36/F,大新金融中心Dah Sing Financial Centre,

248 Queen's Road East, Wan Chai, Hong Kong

(辦公時間:星期一至星期五 (Office hours: 8:45 a.m. to 1:00 p.m.

上午8時45分至下午1時及 and 2:00 p.m. to 6:00 p.m., Monday to 下午2時至6時) Friday)

「千2時至6時」 Friday) : 2838 0441 Fax No. : 2838 0441

(適用於一般查詢) (for general enquiry)

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